



vSEC Cyber Security Consulting

vSEC, LLC is a Chicago-based cyber security consulting team with a deep background in the financial and derivatives industry. vSEC has helped banks, exchanges, FCMs, trading groups, fund managers and Introducing Brokers develop and improve their cyber security programs. vSEC understands the financial industry, so we are able to prioritize and align security controls with our clients' business goals and operating needs, helping them meet industry regulatory standards and cyber security best practices.

vSEC commonly assists financial firms to meet NFA and FINRA security requirements and guidelines with the following actions:

- Developing a written Information Systems Security Policy (ISSP), as well as performing annual ISSP reviews.
- Performing annual risk assessments (e.g., CSF) or control assessments (CIS-18), with guidance to identify and prioritize key areas for improvement.
- Establishing a vendor Third Party Risk Management (TPRM) process.
- Assisting with responses to security surveys from clients or insurance firms.
- Establishing, reviewing and advising on Incident Response policies and plans.
- Leading incident response tabletop exercises, working with a firm's incident response team to practice incident scenarios.
- Implementing and supporting security awareness training programs.

For NFA members, vSEC offers a 9070 self-assessment thru www.vsecllc.com or directly at [NFA 9070 Self-Assessment by vSEC](#). See how you are doing!

Please contact us at info@vsecllc.com if you have questions about how we can help with your cyber security needs.